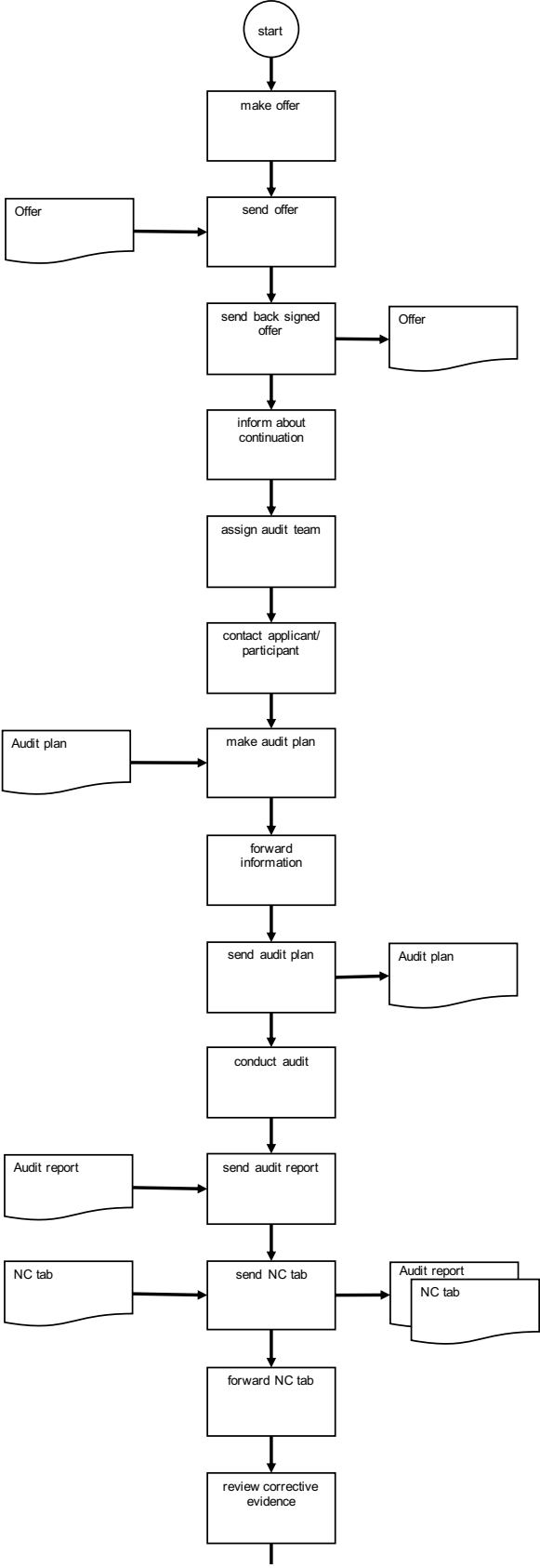


DOCUMENTS IN	FLOW INITIAL AUDIT	DOCUMENTS OUT	RESPONSIBILITIES								REMARKS
			bureau assigned AO's	Int. Coordinator AO's	Lead auditor assigned AO's	auditors assigned AO	audit team assigned AO	applicant/participant	GSPP secretariat	GSPP board	



bureau assigned AO's	Int. Coordinator AO's	Lead auditor assigned AO's	auditors assigned AO	audit team assigned AO	applicant/participant	GSPP secretariat	GSPP board
I	R						
R					I		
I					R		
R			A				
R			A				
			R		A		
			R		A		
I		R					
R					I		
					R	A	
I		R					
I					R		
R					I		
I		R			I		

R = responsible
A = has to be consulted / must give advice
I = involved

quotation based upon scope/sites as on (possibly adapted) application/ modification form, the registration as per certificate and certified list and audit duration table; the international coordinator of assigned AO can require that the applicant/participant has to pay in advance (e.g. when the applicant/participant is located in a remote area)

without this, the audit can not be conducted

1 lead auditor; auditor must comply to auditor requirements

to make final agreements for the audit (date, travel, lodging, etc.)

based upon offer and results of previous audit; sent it to applicant/ participant utterly 2 weeks prior to the audit

based upon GSPP Standard and GSPP Audit Regulations; in case of NC's: they will be sent to the applicant/participant utterly within 3 working days after the audit by means of a standard document NC tab

within 2 weeks after the audit; standard document

indicating results of root cause analysis, corrective action and corrective evidence; needs to be done within the regarding time frames (see audit regulations)

within 2 weeks after date of receiving by AO; if not OK: lead auditor applicant/participant (and bureau) what is missing/what needs to be done; if OK: lead auditor informs bureau AO

